

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Lucas et al. CONFIRMATION NO.: 7282  
SERIAL NO.: 10/619,652 GROUP NO.: 3728  
FILING DATE: July 15, 2003 EXAMINER: Not Yet Assigned  
TITLE: Full Length Cartridge Cushioning System

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the foreign patent documents and non-patent publications are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**

- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

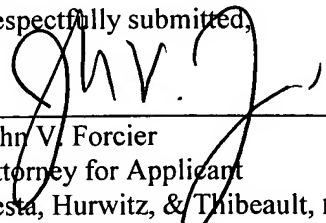
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

#### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

  
\_\_\_\_\_  
John V. Forcier  
Attorney for Applicant  
Testa, Hurwitz, & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

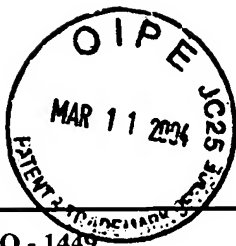
Date: March 9, 2003  
Reg. No. 42,545

Tel. No.: (617) 248-7675  
Fax No.: (617) 248-7100

3031245



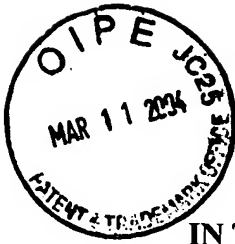
<b>FORM PTO - 1449</b>				<b>ATTORNEY DOCKET NO.: ADI-095</b>			
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>				<b>APPLICANTS: Lucas et al.</b>			
				<b>SERIAL NO.: 10/619,652</b>			
				<b>FILING DATE: 7/15/2003 GROUP: 3728</b>			
<b>U.S. PATENT DOCUMENTS</b>							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A110	Des. 344,174	02/15/94	Kilgore			
	A111	Des. 355,755	02/28/95	Kilgore			
	A112	4,139,187	02/13/79	Hanusa			
	A113	4,224,774	09/30/80	Petersen			
	A114	4,314,413	02/09/82	Dassler			
	A115	4,354,318	10/19/82	Frederick et al.			
	A116	4,616,431	10/14/86	Dassler			
	A117	4,771,544	09/20/88	Hannemann			
	A118	4,843,741	07/04/89	Yung-Mao			
	A119	4,874,640	10/17/89	Donzis			
	A120	4,876,053	10/24/89	Norton et al.			
	A121	5,052,130	10/01/91	Barry et al.			
	A122	5,191,727	03/09/93	Barry et al.			
	A123	5,279,051	01/18/94	Whatley			
	A124	5,353,528	10/11/94	Demardhi			
	A125	5,544,431	08/13/96	Dixon			
	A126	5,560,126	10/01/96	Meschan et al.			
	A127	5,615,497	04/01/97	Meschan			
	A128	5,644,857	07/08/97	Ouellette et al.			
	A129	5,806,210	09/15/88	Meschan			
	A130	5,826,352	10/27/98	Meschan et al.			
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			



<b>FORM PTO - 1449</b>				<b>ATTORNEY DOCKET NO.: ADI-095</b>			
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>				<b>APPLICANTS: Lucas et al.</b>			
				<b>SERIAL NO.: 10/619,652</b>			
				<b>FILING DATE: 7/15/2003 GROUP: 3728</b>			
<b>U.S. PATENT DOCUMENTS</b>							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A131	5,918,384	07/06/99	Meschan			
	A132	5,937,544	08/17/99	Russell			
	A133	5,937,545	08/17/99	Dyer et al.			
	A134	5,970,628	10/26/99	Meschan			
	A135	5,983,529	11/16/99	Serna			
	A136	5,996,260	12/07/99	MacNeill			
	A137	6,050,002	04/18/00	Meschan			
	A138	6,195,916	03/06/01	Meschan			
	A139	6,237,251	05/29/01	Litchfield et al.			
	A140	6,324,772	12/04/01	Meschan			
	A141	6,487,796	12/03/02	Avar et al.			
	A142	6,568,102	05/27/03	Healy et al.			
	A143	6,604,300	08/12/03	Meschan			
	A144	6,662,471	12/16/03	Meschan			
	A145	2002/0078601	06/27/02	Alfond et al.			
	A146	2002/0129516	09/19/02	Lucas et al.			
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			

<b>FORM PTO – 1449</b>				<b>ATTORNEY DOCKET NO.:</b> ADI-095					
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>				<b>APPLICANTS:</b> Lucas et al.					
				<b>SERIAL NO.:</b> 10/619,652					
				<b>FILING DATE:</b> 7/15/2003 <b>GROUP:</b> 3728					
<b>FOREIGN PATENT DOCUMENTS</b>									
<b>EXAM. INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY CODE</b>	<b>CLASS</b>	<b>SUB CLASS</b>	<b>FILING DATE</b>	<b>ABSTRACT ONLY</b>	<b>ENGLISH LANG (Y/N)</b>
	B16	0 714 246	06/05/96	EP					Y
	B17	0 714 611	12/16/98	EP				Y	Y
	B18	WO 95/20333	08/03/95	PCT					Y
	B19	WO 97/13422	04/17/97	PCT					Y
<b>OTHER ART, JOURNAL ARTICLES, ETC.</b>									
<b>EXAM. INIT.</b>	<b>OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)</b>								
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>				

3010438



PATENT  
Attorney Docket No. ADI-095

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:	Lucas et al	CONFIRMATION NO.:	7282
SERIAL NO.:	10/619,652	GROUP NO.:	3728
FILING DATE:	July 15, 2003	EXAMINER:	Not Yet Assigned
TITLE:	Full Length Cartridge Cushioning System		

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 9th day of March, 2004.

  
Shawna Boudreau

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

1. Transmittal Form (1 page);
2. Supplemental Information Disclosure Statement (2 pages);
3. Form PTO-1449 (3 pages) along with cited references B16-B19;
4. and a return receipt postcard.

# TRANSMITTAL FORM



Application Serial No.:	10/619,652
Filing Date:	July 15, 2003
First Named Inventor	Lucas
Group Art Unit	3728
Examiner Name	Not Yet Assigned
Attorney Docket No.	ADI-095

## ENCLOSURES (check all that apply)

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form<br><br><input type="checkbox"/> Amendment/Response<br><br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official<br>Draftsperson including Drawings [Total Sheets ____]<br><br><input type="checkbox"/> Extension of Time Request<br><br><input checked="" type="checkbox"/> Supplemental Information Disclosure Statement<br><input checked="" type="checkbox"/> PTO-1449<br><input checked="" type="checkbox"/> Copy of IDS Citations (B16-B19)<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)<br><br><input type="checkbox"/> Formal Drawing(s)<br><br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application<br><br><input type="checkbox"/> Small Entity Statement<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Appeal Communication to Board of Patent Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8<br><br><input type="checkbox"/> Additional Enclosure(s) ( <i>please identify below</i> ) |
|--|---|---|


## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Date: March 9, 2004  
 Reg. No. 42,545  
 Tel. No.: (617) 248-7675  
 Fax No.: (617) 248-7100

Respectfully submitted,

  
 John V. Forcier  
 Attorney for Applicants  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110